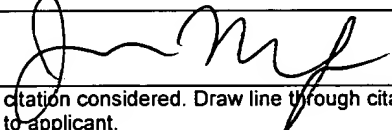
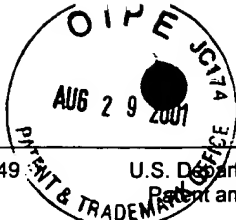


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01997-297001	Application No. 09/805,435
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Victor I. Klimov et al.	
		Filing Date March 14, 2001	Group Art Unit 2874

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	AW	5,260,957	11/9/1993	Hakimi et al			

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AX	WO 01/07689	02-01-2001	WIPO			

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**Information Disclosure Statement  
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(Use several sheets if necessary)

(37 CFR §1.98(b))

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AY	WO 00/28088	05-18-2000	WIPO				
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	AAA	WO 00/27365	05-18-2000	WIPO				
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	ACC	WO 00/17655	03-30-2000	WIPO				
	ADD	WO 99/50916	10-07-1999	WIPO				
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	AFF	WO 99/19515	04-22-1999	WIPO				
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01997-297001	Application No. 09/805,435
		Applicant Victor I. Klimov et al.	
		Filing Date March 14, 2001	Group Art Unit 2874

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	AUU	Empedocles, et al., "Quantum-Confined Stark Effect in single CdSe Nanocrystallite Quantum Dots", Science, Vol. 278, pp. 2114-2117, (1997).
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	AWW	Gao et al., "Strongly Photoluminescent CdTe Nanocrystals by Proper Surface Modification," J. Phys. Chem., Vol. 102, pp. 8360-8363, (1998).
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	AYY	Green, et al., "Recent advances in the preparation of semiconductors as isolated nanometric particles; new routes to quantum dots", Chem. Commun., pp. 2235-2241, (1999).
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	AJJJ	Lett, D. N., "Color-Coding Quantum Dots Debut With Promising Careers In Clinical Diagnostics Field", BioWorld Today, Vol. 9, No. 185, p. 1, (1998).
	AKKK	Liz-Marzán, et al., "Synthesis of Nanosized Gold-Silica Core-Shell Particles," Langmuir 12, pp. 4329-4335, (1996).
	ALLL	Ludolph et al., "Novel single molecule precursor routes for the direct synthesis of highly monodispersed quantum dots of cadmium or zinc sulfide or selenide", Chem. Commun., pp. 1849-1850, (1998).
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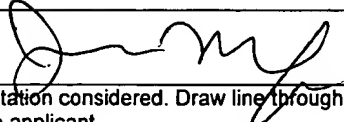
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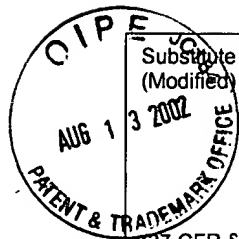
Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 01997-297001	Application No. 09/805,435.
	Applicant Victor I. Klimov et al.		
	Filing Date March 14, 2001	Group Art Unit 2874	

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	AWWW	Spanhel et al., "Photochemistry of Colloidal Semiconductors. Surface Modification and Stability of Strong Luminescing CdS Particles", J. Am. Chem. Soc., 109 (19), pp. 5649-5655, (1987).

*Not  
considered  
No Reference Copies!*

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Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
01997-297001Application No.  
09/805,435**Information Disclosure Statement  
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(37 CFR §1.98(b))

Applicant  
Victor I. Klimov et al.Filing Date  
March 14, 2001Group Art Unit  
2874**U.S. Patent Documents**

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,881,200	Mar. 9, 1999	Burt			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AB	WO 00/48275	Nov. 7, 2001	WIPO			Abstract Only	

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
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9/30/02

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